

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Docket Number 03-6157		Application Number 10/822,484			
		Applicants Joseph M. Asher et al.					
		Filing Date April 12, 2004		Group Art Unit 3696			
U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
	A	6,067,532	5/23/2000	Gebb			
	B	6,236,900	5/22/2001	Geiger			
	C	2002/0082969	6/27/2002	O'Keefe et al.			
	D	4,363,489	12/14/1982	Chodak et al.			
	E	4,903,201	2/20/1990	Wagner			
	F	6,347,086	2/12/2002	Strachan			
	G	2003/0101128	5/1/2003	Abemethy			
	H	2006/0240852	10/26/2006	Al-Sarawi			
	I	2003/0065615	4/3/2003	Aschir			
	J	2003/0036396	2/20/2003	Back, et al.			
	K	2002/0112014	8/15/2002	Bennett, et al.			
	L	2005/0021445	1/27/2005	Caro			
	M	6,263,321	7/17/2001	Daughtery, III			
	N	5,819,237	10/6/1998	Garman			
	O	2002/0119793	8/29/2002	Hronek, et al.			
	P	5,724,524	3/10/1998	Hunt, et al.			
	Q	6,021,397	02-2000	Jones, et al.			
	R	5,537,314	7/16/1996	Kanter			
	S	2006/0026091	2/2/2006	Keen JR., et al.			
	T	2003/0171980	9/11/2003	Keiser et al.			
	U	6,505,174	10/7/2003	Keiser, et al.			
FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Document	Date	Country	Class	Sub-Class	Translation
NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published					
Examiner Signature				Date Considered			

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Docket Number 03-6157	Application Number 10/822,484
	Applicants Joseph M. Asher et al.	
	Filing Date April 12, 2004	Group Art Unit 3696

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
	V	2006/0143110	6/29/2006	Keiser, et al.			
	W	6,088,685	07-2000	Kiron			
	X	5,806,048	9/8/1998	Kiron et al.			
	Y	5,508,731	4/16/1996	Kohorn			
	Z	2002/0099640	7/1/2002	Lange			
	A ¹	6,026,388	02/15/2000	Liddy, et al.			
	B ¹	5,950,177	9/7/1999	Lupien, et al.			
	C ¹	2006/0123092	6/1/2006	Madams, et al.			
	D ¹	2002/0019792	2/14/2002	Maerz, et al.			
	E ¹	2004/0078314	4/22/2004	Maerz, et al.			
	F ¹	2004/0059660	3/25/2004	Michael			
	G ¹	2006/0085320	4/20/2006	Owens, et al.			
	H ¹	5,237,500	8/17/1993	Perg, et al.			
	I ¹	2003/0036395	2/20/2003	Proidi			
	J ¹	2002/0194097	12/19/2002	Reitz			
	K ¹	6,443,841	9/3/2002	Rossides			
	L ¹	2006/0080220	4/13/2006	Samuel, et al.			
	M ¹	2005/0070251	3/31/2005	Satake, et al.			
	N ¹	2007/0174171	7/26/2007	Sheffield			
	O ¹	2005/0027583	2/3/2005	Smit, et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document	Date	Country	Class	Sub-Class	Translation

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published
Examiner Signature		Date Considered

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Docket Number 03-6157		Application Number 10/822,484	
				Applicants Joseph M. Asher et al.			
				Filing Date April 12, 2004		Group Art Unit 3696	
U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
	P ¹	5,826,241	10/20/1998	Stein, et, al			
	Q ¹	6,578,010	6/10/2003	Teacherson			
	R ¹	2001/0042785	11/1/2001	Walker et al.			
	S ¹	2004/0117302	6/1/2004	Weichert et al.			
	T ¹	5,913,203	6/15/1999	Wong, et al.			
	U ¹	7,487,123	2/3/2009	Keiser et al.			
	V ¹	2005/0267836	12/1/2005	Crosthwaite et al.			
	W ¹	6,910,965	6/28/2005	Downes			
FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Document	Date	Country	Class	Sub-Class	Translation
	X ¹	WO 93110503	11/12/1992	PCT			
	Y ¹	EP 0 739 526	10/25/1995	EPO			
	Z ¹	WO 96/41315	3/11/1996	PCT			
	A ²	WO 01/98983	6/21/2000	PCT			
	B ²	WO 01/16825	6/28/2000	PCT			
	C ²	WO 01/39056	11/23/2000	PCT			
	D ²	WO02/12986	8/3/2001	PCT			
	E ²	WO 02/46999	11/29/2001	PCT			
	F ²	EP 1 363 430	5/14/2002	EPO			
	G ²	WO 03/010720	7/24/2002	PCT			
	H ²	KR 2004-0062806	7/9/2004	Korea			
	I ²	CN 1417731	5/14/2003	China			
NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published					
Examiner Signature					Date Considered		

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Docket Number 03-6157	Application Number 10/822,484
		Applicants Joseph M. Asher et al.	
		Filing Date April 12, 2004	Group Art Unit 3696
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published	
	J ²	News Futures Prediction Markets; Trading uncertainty for collective wisdom, 2 pp., dated December 6, 2001.	
	K ²	Schrage, Michael, "Firms Quietly Forming Own Economies," San Jose Mercury News, 2 Pages, dated July 13, 2002.	
	L ²	Astral Media, "The Harold Greenberg Fund's Equity Investment Program", 11 pages, dated 1992.	
	M ²	Avary's Domain - Interviews - Miltimeter Magazine Interview, pp. 9-10, dated March 3, 1994.	
	N ²	Lewis, Peter H., "Attention Internet Shoppers: E-Cash is Here," The New York Times, Section D, Page 4, Column 4, 2 pages, dated October 19, 1994.	
	O ²	Digital Commerce on Increase, Publishing Technology Review, Vol. 2, No. 2, ISSN: 1351-0177, 1 page, dated January 1, 1995.	
	P ²	Hansell, Saul, "Today, Shoppers on Internet Get Access to Electronic Cash," The New York Times, Section D, Page 4, Column 5, 2 pages, dated October 23, 1995.	
	Q ²	Cones, John W., "43 Ways to Finance Your Feature Film", A Comprehensive Analysis of Film Finance, 14 pages, dated 1995.	
	R ²	Nash, Kim S., "Cybercash at Risk: Money Laws Lacking," Computerworld, 3 pages, dated December 23, 1996.	
	S ²	The Rogue Market Journal, "The Power Play on Popular Culture, A Letter from the Editor", 18 pages, dated December 30, 1996.	
	T ²	Chang, Yahlin, "The Internet: Bull Market in Movies," Newsweek, 1 page, dated February 3, 1997.	
	U ²	Dubin, Alex, "Sunspot: Maryland's Online Community, Taking Stock at the Box Office," 5 pages, dated August 19, 1997.	
	V ²	Engineers, Hollywood Stock Exchange, 2 pages, dated November 13, 1997.	
Examiner Signature			Date Considered

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Docket Number 03-6157	Application Number 10/822,484
		Applicants Joseph M. Asher et al.	
		Filing Date April 12, 2004	Group Art Unit 3696
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published	
	W ²	Newman, Jeffrey L., Amex.com, The Street.com, Across the Street: Buying Stock in Hollywood," 2 pages, dated November 17, 1997.	
	X ²	Pedersen-Pietersen, Laura, "The Hollywood Stock Market: You Can't Lose," The New York Times, Section 3, Page 4, Column 1, 3 pages, dated January 11, 1998.	
	Y ²	Kelly, Brendan, Variety: Canadians turn to co-finance, pre-sales for TV prod'n coin. Findarticles.com , 4 pages, dated January 19, 1998.	
	Z ²	Ragas, Matthew, OnlinePress.com, "Insider Trading - Hollywood Style, 3 pages, dated February 4, 1998.	
	A ³	Smith, Leo, Ventura County Business; Venture County Review; Ventura Studio Company Expands on Its Multimedia Savvy; The Los Angeles Times, 2 pages, dated December 15, 1998.	
	B ³	Cones, John W. and Wilke, Pete, "Investor Financing of Independent Film" pp. 1-257.	
	C ³	Tuchlin, Harris E., "Smooth Negotiating: Making the Director Deal," International Entertainment, Multimedia & Intellectual Property Law & Business Network, 13 pages.	
	D ³	Feuer, Jack, "Disc Driven," Findarticles.com, 8 pages, dated July 12, 1999.	
	E ³	Film Finances, Inc. The World Leader in Completion Guarantees, Internet print-out, 1 page, dated November 23, 2005.	
	F ³	Findarticles.com, "MediaX and CMC International Records Team Up for Exclusive Download Campaigns with Major Artists." PR Newswire, 2 pages, dated December 8, 1999.	
	G ³	Film Finances, Inc., Sample Completion Guaranty; internet print-out, 14 pages.	
	H ³	Kaufman, Debra, "Lights, Camera, Capital, Online Investing," WIRED Magazine, 2 pages, dated 2001.	
	I ³	Business Week; The Power of Us, Mass Collaboration on the Internet is shaking up business; 6 pp., dated June 20, 2005.	
Examiner Signature			Date Considered

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Docket Number 03-6157	Application Number 10/822,484
		Applicants Joseph M. Asher et al.	
		Filing Date April 12, 2004	Group Art Unit 3696
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published	
	J ³	Kilmartin, Liam, et al., Development of an Interactive Voice Response System for a GSM SMS based Share Price Server, DSP UK - Sandown Exhibition Centre, pp. 89-93, dated December 31, 1997.	
	K ³	Friel, Dermot, et al., "An Automated Stock Price Delivery System Based on the GSM Short Message Service," IEEE, pp. 1591-1595 including Figure 3, dated 1998.	
	L ³	Nelson, Darin, et al., ("Design Issues in Multimedia Messaging for Next Generation Wireless Systems," pp. 98-103, dated 2001.	
	M ³	Cabral de Souza, Geraldo Marcelo, et al., Proceedings of the IASTED International Conference, Communications, Internet, & Information Technology, "A Gateway to Interconnect Corporate and Mobile Telephony Operator Networks for Two-way SMS Messages Interchange," pp. 449-453, dated November 18, 2002.	
	N ³	Jack D. Glen, "Trading and information systems in two emerging stock market", East Asian Executive Reports, Dec. 15, 1994, Vol. 16., Iss 12, pages 1-4, dated December 15, 1994.	
	O ³	American Film Market; Hollywoodnet.com; 1997, http://www.hollywoodnet.com/conferences/aft97.htm .	
	P ³	Domowitz, I. et al., "Auctions as algorithms: computerized trade execution and price discovery", Journal of Economic Dynamics and Control, (J-Econ-Dyn-Control-Netherlands), January 1994, Vol. 18, No. 1, pp. 29-60.	
	Q ³	Notification of Transmittal of the International Search Report and Written Opinion of the International Searching Authority, or the Declaration for International Application No. PCT/US06/28805, mailed December 27, 2006, 6 pp.	
	R ³	Notification of the International Search Report for International Application No. PCT/US99/25793, mailed August 25, 2000, 2 pp.	
	S ³	Preliminary Examination Report for International Application No. PCT/US99/25793, dated May 17, 2001, 4 pp.	
	T ³	Examination Report for European Patent Application No. 99965746.3, mailed November 27, 2007, 7 pp.	
	U ³	Internet Printout: "Who We Are", Wall Street Survivor, http://www.wallstreetsurvivor.com/Public/Content/About.aspx , downloaded July 9, 2009, 2 pp.	
	V ³	Internet Printout: "What is UMOO", UMOO Financial Entertainment, http://www.umoo.com/about_umoo.aspx , downloaded July 9, 2009, 3 pp.	
Examiner Signature			Date Considered

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Docket Number 03-6157	Application Number 10/822,484
		Applicants Joseph M. Asher et al.	
		Filing Date April 12, 2004	Group Art Unit 3696
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published	
	W ³	Klapwald, Thea, "Prod'n co. born from Net game", Special Issue: The Independents; Daily Variety, July 24, 1997, 2pp.	
	X ³	Office Action for U.S. Application Serial No. 11/379,171, mailed January 29, 2009, 14 pp.	
	Y ³	Office Action for U.S. Application Serial No. 11/279,997, mailed January 14, 2009, 15 pp.	
	Z ³	Examiner Interview Summary for U.S. Application Serial No. 11/279,997, mailed June 22, 2009, 2 pp.	
	A ⁴	Office Action for U.S. Application Serial No. 09/465,607, mailed December 20, 2000, 5 pp.	
	B ⁴	Office Action for U.S. Application Serial No. 09/465,607, mailed September 12, 2001, 6 pp.	
	C ⁴	Office Action for U.S. Application Serial No. 09/465,607, mailed June 25, 2002, 6 pp.	
	D ⁴	Office Action for U.S. Application Serial No. 09/465,607, mailed January 14, 2003, 6 pp.	
	E ⁴	Office Action for U.S. Application Serial No. 09/465,607, mailed June 29, 2004, 6 pp.	
	F ⁴	Office Action for U.S. Application Serial No. 09/465,607, mailed January 6, 2009, 14 pp.	
	G ⁴	Office Action for U.S. Application Serial No. 10/301,527, mailed October 8, 2003, 6 pp.	
	H ⁴	Office Action for U.S. Application Serial No. 10/301,527, mailed July 28, 2004, 6 pp.	
	I ⁴	Office Action for U.S. Application Serial No. 10/301,527, mailed November 23, 2004, 5 pp.	
Examiner Signature	Date Considered		

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Docket Number 03-6157	Application Number 10/822,484
		Applicants Joseph M. Asher et al.	
		Filing Date April 12, 2004	Group Art Unit 3696
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published	
	J ⁴	Notice of Allowance for U.S. Application Serial No. 10/301,527, mailed April 25, 2005, 10 pp.	
	K ⁴	Office Action for U.S. Application Serial No. 10/655,409, mailed January 27, 2005, 14 pp.	
	L ⁴	Office Action for U.S. Application Serial No. 10/655,409, mailed August 11, 2005, 10 pp.	
	M ⁴	Office Action for U.S. Application Serial No. 10/655,409, mailed February 15, 2006, 17 pp.	
	N ⁴	Office Action for U.S. Application Serial No. 10/655,409, mailed September 7, 2006, 16 pp.	
	O ⁴	Office Action for U.S. Application Serial No. 10/655,409, mailed September 14, 2007, 22 pp.	
	P ⁴	Office Action for U.S. Application Serial No. 10/655,409, mailed January 28, 2009, 22 pp.	
	Q ⁴	Office Action for U.S. Application Serial No. 11/351,614, mailed September 19, 2007, 11 pp.	
	R ⁴	Office Action for U.S. Application Serial No. 11/351,614, mailed October 2, 2008, 15 pp.	
	S ⁴	Office Action for U.S. Application Serial No. 09/382,907, mailed September 12, 2000, 11 pp.	
	T ⁴	Office Action for U.S. Application Serial No. 09/382,907, mailed May 29, 2001, 9 pp.	
	U ⁴	Office Action for U.S. Application Serial No. 09/382,907, mailed May 9, 2002, 9 pp.	
	V ⁴	Office Action for U.S. Application Serial No. 09/382,907, mailed April 30, 2003, 8 pp.	
Examiner Signature	Date Considered		

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Docket Number 03-6157		Application Number 10/822,484	
		Applicants Joseph M. Asher et al.			
		Filing Date April 12, 2004		Group Art Unit 3696	
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published			
	W ⁴	Notice of Allowance for U.S. Application Serial No. 09/382,907, mailed November 25, 2008, 13 pp.			
	X ⁴	Office Action for U.S. Application Serial No. 11/189,262, mailed January 11, 2008, 23 pp.			
Examiner Signature				Date Considered	